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APPLICATION NUMBER FILING OR 371 (c) DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

10/825,950 04/16/2004 Steven L. VanFleet 020375-050300US

**CONFIRMATION NO. 8207** 

20350 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER **EIGHTH FLOOR** SAN FRANCISCO, CA 94111-3834

**FORMALITIES LETTER** 

\*OC000000013073079\*

Date Mailed: 06/28/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

## Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$654 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

## **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$1554 for a Large Entity A Program execut common continue 08/31/2004 AADOFO1 00000035 10825950

• \$770 Statutory basic filing fee

60 .c.

01 FC:1001 770.00 DA 02 FC:1201 258.00 DA 03 FC:1202 396.00 DA 130.00 DA





- Total additional claim fee(s) for this application is \$654
  - \$258 for 3 independent claims over 3.
  - **\$396** for **22** total claims over 20.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Meaza. W

Customér-Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

n mos w			PT	O/SB/21 (04-04)
MIG 3		Application Number	10/825,950	
TRANSMITTAL FORM		Filing Date	April 16, 2004	
RADE FORM		First Named Inventor	VanFleet, Steven L.	
(to be used for all correspondence after initial filing)		Art Unit	3621	
		Examiner Name	Not yet known	
Total Number of Pages in This Submission	24	Attorney Docket Number	020375-050300US	

Total Number o	Pages in Th	is Submission	24		020373-0303	0000		
			EN	CLOSURES (Object of the				
			EN	CLOSURES (Check all that a	apply)			
Fee Tran	smittal Forr	n		Drawing(s)			ance Communication ogy Center (TC)	
F	ee Attache	d .		Licensing-related Papers			nmunication to Board and Interferences	
	ent/Reply			Petition Petition to Convert to a	L (A	ppeal Noti	nmunication to TC ce, Brief, Reply Brief)	
		claration(e)		Provisional Application  Power of Attorney, Statement Under	er   🗔	oprietary atus Lette	Information	
	Affidavits/declaration(s)  Extension of Time Request		37 CFR 3.73(b)				er osure(s) (please	
		•	Terminal Disclaimer   Declaration				ow):	
Express Abandonment Request				Request for Refund	Recordati	Recordation Cover Sheet & Assignment PTO copy of Notice to File Missing Parts		
Informati	on Disclosu	re Statement		CD, Number of CD(s)	Return Po	stcard		
	Certified Copy of Priority Document(s)  Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.							
Response to Missing Parts/ Incomplete Application								
Response to Missing Parts under 37 CFR 1.52 or 1.53								
		SIGNA	TURE	OF APPLICANT, ATTORNE	Y. OR AGEN	T		
Firm	Towns	end and Towns	<del></del>			<del></del>		
or Individual name	or Individual name Melissa A. Haapala Reg. No. 47,622							
Signature	Signature OMW a. Others							
Date	Date August 27, 2004							
CERTIFICATE OF TRANSMISSION/MAILING								
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Mail Stop Missing Parts, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.								
Typed or printed	name	Janet L. Newma	aker					
Signature Janet L.				Date Augus				

FEE TRANSMITTAL Complete if Known Application Number 10/825,950 for FY 2004 April 16, 2004 Filing Date Effective 10/01/2003. Patent fees are subject to annual revision. VanFleet, Steven L. First Named Inventor Applicant claims small entity status. See 37 CFR 1.27 Not yet known **Examiner Name** 3621 Art Unit TOTAL AMOUNT OF PAYMENT (\$) 020375-050300US Attorney Docket No.

	METHOD OF PAYME	NT (check all that apply)			-	FEE CA	ALCULATION (continued)	
Check	Credit Card	Money Order Other None	3. ADD	ITIONAL I	FEES			
Deposit A		money order order	Large	Entity	Small	Entity		
Deposit Account Number 20-1430			Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
			1051	130	2051	65	Surcharge - late filing fee or oath	130
Deposit			1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Account Townsend and Townsend and Crew LLP				130	1053	130	Non-English specification	
Name			1053 1812	2,520	1812	2.520	For filing a request for reexamination	
The Director is Charge fee	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action			
Charge an	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action			
Charge fee(s) indicated below, except for the filing fee				110	2251	55	Extension for reply within first month	
to the above-identified deposit account.				420	2252	210	Extension for reply within second month	$\vdash$
		LCULATION	1252	420	2232	210	Extension for reply within second month	
	FILING FEE		1253	950	2253	475	Extension for reply within third month	
Large Entity	Small Entity		1254	1,480	2254	740	Extension for reply within fourth month	
Fee Fee		Description Fee Paid		Δ.				
Code (\$) 1001 770	Code (\$) 2001 385 Utili	ty filing fee 770	7 1255	2,010	2255	1,005	Extension for reply within fifth month	$\Box$
1001 770		sign filing fee	1401	330	2401	165	Notice of Appeal	$\vdash$
1003 530		nt filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1004 770		ssue filing fee	1403	290	2403	145	Request for oral hearing	$\vdash$
1005 160		visional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
	SUBTOTAL (	1) (\$)770	7 1452	110	2452	55	Petition to revive – unavoidable	
	· · · · · · · · · · · · · · · · · · ·		1453	1,330	2453	665	Petition to revive – unintentional	
2. EXTRA C	CLAIM FEES FOR I	JTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)	
		Fee from	1502	480	2502	240	Design issue fee ·	$\sqsubseteq$
	Extra Cl		1503	640	2503	320	Plant issue fee	
Total Claims	42 -20** =  22	X\$18	1460	130	1460	130	Petitions to the Commissioner	
Independent Claims	6 -3** = 3	<b>3</b> \$86 = \$258	1807	50	1807	50	Petitions related to provisional applications	
Multiple Dependent		<b>*</b>	1806	180	1806	180	Submission of Information Disclosure Stmt	
Large Entity	Small Entity		8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40
Fee Fee Code (\$) 1202 18	Fee Fee Code (\$) 2202 9	Fee Description Claims in excess of 20	1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1202 18	2202 9	Independent claims in excess of 3	1810	770	2810	385	For each additional invention to be	
1203 290	2203 145	Multiple dependent claim, if not pa	id				examined (37 CFR § 1.129(b))	
1204 86	2204 43	** Reissue independent claims over original patent	1801	770	2801	385	Request for Continued Examination (RCE)	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application	
	SUBTO	TAL (2) (\$)654	Other fe	e (specify	)			
**or number pre	eviously paid, if greater; Fo	• • • • • • • • • • • • • • • • • • • •				Fee Paid	SUBTOTAL (3) (\$)170	
1			1	,			(Ψ/110	- 1

SUBMITTED BY Complete (if applicable)						
Name (Print/Type)	Melissa A. Haapala	R	egistration No. (Attorney/Agent)	47,622	Telephone	303-571-4000
Signature	Mili	G.	It and		Date	August 27, 2004